# NEWFOUNDLAND AND LABRADOR BOARD OF COMMISSIONERS OF PUBLIC UTILITIES

# AN ORDER OF THE BOARD NO. P. U. 24(2009)

**IN THE MATTER OF** the *Electrical Power Control Act*, RSNL 1994, Chapter E-5.1 (the "*EPCA*") and the *Public Utilities Act*, RSNL 1990, Chapter P-47 (the "*Act*") as amended, and their subordinate regulations;

**AND IN THE MATTER OF** a General Rate Application (the "*Application*") by Newfoundland Power Inc. to establish customer electricity rates for 2010.

## **BEFORE:**

**Andy Wells Chair and Chief Executive Officer** 

Darlene Whalen, P.Eng. Vice-Chairperson

Dwanda Newman, LL.B. Commissioner

# PROCEDURAL ORDER

1	WHEREAS Newfoundland Power Inc. filed an application with the Board of Commissioners of
2	Public Utilities ("the Board") on May 28, 2009 requesting approval of, among other things, the
3	proposed rates for the various customers of Newfoundland Power, to be effective January 1, 2010;
4	and
5	
6	WHEREAS Notice of the Application and Pre-hearing Conference was published in newspapers
7	throughout Newfoundland and Labrador beginning on June 3, 2009; and
8	
9	WHEREAS after publishing Notice the Board received Intervenor Submissions from the Consumer
10	Advocate and Newfoundland and Labrador Hydro; and
11	nd.
12	WHEREAS on June 17, 2009 a Pre-hearing Conference was held in the Board's Hearing Room, 2 <sup>nd</sup>
13	Floor, Prince Charles Building, 120 Torbay Road, St. John's; and
14	
15	WHEREAS the issues addressed at the Pre-hearing Conference included the identification of
16	Intervenors, the procedures to be followed in the matter and the Schedule of Dates; and
17	
18	WHEREAS having heard from the parties and giving regard to their agreement in relation to the
19	proposed schedule and procedures for the hearing of the Application the Board makes the following
20	order pursuant to the provisions of the <i>Act</i> and regulations there under.
21	
22	
23	THE BOARD ORDERS THAT:
24	

1. The registered Intervenors, the Schedule of Dates, and the Rules of Procedure for the hearing of the Application are as set out in Appendix "A" to this Order.

25

26

<b>DATED</b> at St. John's, Newfoundland and I	Labrador this 17 <sup>th</sup> day of June 2009.
	Andrew Wells
	Chair & Chief Executive Officer
	Darlene Whalen, P.Eng.
	Vice-Chairperson
	Dwanda Newman, LL.B.
	Commissioner
Cheryl Blundon	
Board Secretary	

# Appendix "A" Order No. P. U. 24(2009)

1	Item 1.	Registered Intervenors
2		
3	Item 2.	<b>Schedule of Dates</b>
4		
5	Item 3.	<b>Rules of Procedure</b>

1		Registered Intervenors				
2	an.					
3	The following is a list of parties who are identified as registered Intervenors to the					
4	hear	ing:				
5						
6	1.	Consumer Advocate				
7		Thomas Johnson				
8		O'Dea, Earle Law Offices				
9		323 Duckworth Street				
L 0		St. John's, NL				
L1		A1C 5X4				
L2		Telephone: 726-3524				
L3		Fax: 726-9600				
L4		E-mail: tjohnson@odeaearle.nf.ca				
L5						
L6						
L7	.2.	Newfoundland and Labrador Hydro				
L8		Geoffrey P. Young				
L9		Senior Legal Counsel				
20		Hydro Place, 500 Columbus Drive				
21		P.O. Box 12400				
22		St. John's, NL				
23		A1B 4K7				
24		Telephone: 737-1277				
25		Fax: 737-1782				
2.6		E-mail: gyoung@nlh nl ca				

1		Schedule of Dates
2		
3	The following dates	are set:
4	T 2000	
5	June 2009	Dog Harring Conference
6 7	June 17 (wednesday)	Pre-Hearing Conference
8	July 2009	
9	July 6 (Monday)	Requests for Information filed (RFIs)
10	July 15 (Wednesday)	1 ' '
11	July 24 (Friday)	Motions Day (if required)
12	July 27 (Monday)	2 <sup>nd</sup> Round of RFIs filed
13	July 31 (Friday)	Grant Thornton Report filed
13 14	July 31 (I'llday)	Orant Thornton Report med
	August 2000	
15 16	August 2009	Responses to 2 <sup>nd</sup> round of RFIs filed
16	Aug. 7 (Friday) Aug. 17 (Monday)	•
17	<b>U</b> \ <b>J</b> /	Experts' Reports and pre-filed evidence filed (except John Todd)
18	Aug. 17 (Monday)	Witness Lists, Issues Lists filed
19	Aug. 20 (Thursday)	John Todd's report will be filed
20	Aug. 21 (Friday)	RFIs on Expert Reports filed (including Grant Thornton Report)
21	Aug. 24 (Monday)	Negotiation period begins
22	Aug. 28 (Friday)	Responses to RFIs on Experts' Reports filed
23		
24	C41 2000	
25	September 2009	Name d'adiana anno 1-1-
26	Sept. 18 (Friday)	Negotiations conclude
27	Sept 22 (Tuesday)	Filing of settlement agreement, updated issues list and witness lists and
28	C (O) (T 1 )	proposed order of witnesses
29	Sept 29 (Tuesday)	Final deadline for filing any outstanding information
30	0.41.2000	
31	October 2009	
32	Oct. 14 (Wednesday)	Public Hearing to begin

1 2 3			Newfoundland Power Inc. 2010 General Rate Application Rules of Procedure
4			
5	Publ	ic Reco	rd
6	1	TT 1	
7	1.		ss otherwise ordered by the Board, all documents filed with respect to this proceeding
8		snaii	be placed on the public record.
9	2.	1	try may apply to the Doord for an Order that a decompant or information filed in the
10 11	۷.	-	ty may apply to the Board for an Order that a document or information filed in the
12		proce	eding is confidential and should not be released or released only on condition.
13	3.	<b>A</b> c. o	local public body, the Board is bound by the provisions of the provincial privacy
14	٥.		ation (Access to Information and Protection of Privacy Act, RSNL 2002 Chapter A-1.1).
15		_	te information which may be disclosed during the proceeding should be identified as
16			te information and will be dealt with in accordance with the provisions of the provincial
17			cy legislation.
18		piiva	ey legislation.
19	Forn	n of Doc	cuments
20	1011		
21	3.	(1)	Unless otherwise ordered by the Board, the official record of this proceeding
22	٥.	(1)	will be the original paper copy filed with the Board's Secretary
23			will be the original paper copy fried with the Board & Secretary
24		(2)	Every written document filed by a party shall be prepared as follows:
25		(-)	- very measure account by a party contact of perparent as contact.
26			(a) Typed, written or printed on 8½" X 11" letter size paper, 3-hole punched for
27			standard binders.
28			(b) Single or double sided.
29			(c) Each page shall be numbered.
30			(d) Where reasonable, each line shall be numbered.

#### **Filing of Documents** 1 2 3 4. (1) All documents shall be filed with the Board Secretary. 4 5 (2) Documents may be filed by: 6 7 (a) Hand delivery; 8 Courier service: (b) 9 Registered Mail; (c) Facsimile; or 10 (d) Other means directed by the Board. 11 (e) 12 Filing is accomplished when the Board receives the submission. 13 (3) 14 15 (4) All documents will be date and time stamped when received at the Board's Office. 16 17 All documents filed according to the scheduled dates shall be filed no later than 3:00 (5) 18 p.m. on the date stipulated. Documents filed after this time or on a Board holiday shall be considered as filed on the next Board business day. 19 20 21 **Revisions to Documents** 22 23 5. A party may revise any document to correct errors or to provide new information (1) 24 before the completion of the hearing. 25 Where all or any part of a document is revised, each revision shall indicate the 26 (2) page(s) revised, the line(s) revised, the number of the revision (i.e. 1<sup>st</sup> revision), and 27 the date of the revision. 28 29 Where a revision is made to a document the Board may, upon its own motion or 30 (3) 31 upon the request of another party, after receiving submissions of the parties, make any order in respect of the revisions. 32 33 **Service of Documents** 34 35 36 6. All documents shall be served upon the other parties in this proceeding. (1) 37 Parties will appoint one (1) person to receive documents for this proceeding. 38 (2) 39 Service may be made as follows: 40 (3) 41

		(a) Hand delivery;
		(b) Courier service;
		(c) Registered Mail;
		(d) Facsimile; or
		(e) Other means ordered by the Board.
	(4)	Service will be effective:
		(a) On the day of delivery, where the document is sent by hand, courier or
		facsimile.
		(b) On the date of receipt, where the document is delivered by registered mail.
		(c) On a date determined by the Board, where service is made by any other
		means.
NT	1 64	
Num	ber of (	Copies of Filings and other Documents
7	(1)	Unless otherwise ordered by the Doord parties filing decoments with
7.	(1)	Unless otherwise ordered by the Board, parties filing documents with
		the Board shall adhere to the following guidelines:
		(a) File with the Board Secretary one (1) original signed copy of each document.
		(b) Provide ten (10) copies of the original documents with the Board.
		(c) Serve one (1) copy of each document to the parties.
		(e) Serve one (1) copy of each document to the parties.
Char	ge for (	Copies
01101	80 101	
8.	(1)	The Board will provide a copy of any document authored by the Board or
	` /	its consultants at no charge.
		č
	(2)	Copies of documents originating or authored by a party should be requested directly
		from the party.
	(3)	One (1) copy of the transcript for each day of the hearing will be provided to each
		party at no cost.
	(4)	Copies of the Legislation, Acts, and Regulations can be obtained from the Queen's
		Printer, viewed at the Board's Main Office, or viewed on the Board's Website at
		(www.pub.nl.ca).
	(5)	The Board may charge copy fees for the cost associated with the reproduction of any
		other document requested by an individual or party in accordance with the applicable
		legislation.
	7.	Number of (7). (1)  Charge for (8). (1)  (2)  (3)  (4)

1 2	Electronic Filing				
3 4 5	9.	(1)	To the extent practical every party shall file with the Board an electronic version of all documentation filed with the Board in this proceeding in the following manner:		
6 7 8 9			(a) Each individual document shall be converted while in electronic form to "read only" *.pdf format (Adobe Acrobat), still allowing for key word searches and cut and paste functionality.		
10 11 12			(b) All Documents that are generated in-house by the parties are to be filed electronically in the manner prescribed in this Order, with the exception of:		
13 14 15 16 17			<ul> <li>i. Covering letters or correspondence;</li> <li>ii. Background reports, Board orders or historical documentation that are unavailable or impractical to provide electronically, and</li> <li>iii. Case law filed in support of Motions.</li> </ul>		
18 19 20 21		(2)	Copies of all documentation, except confidential and private information, filed with the Board in this proceeding will be placed on the Board's website (www.pub.nl.ca), or at the Board's main office by contacting the Board Secretary.		
22 23		(3)	The electronic file is not an official record for the purposes of this proceeding.		
24 25	Public	Viewi	ng		
26 27 28 29	10.	confid	sted persons may view any or all documents filed in this proceeding, except lential information, on the Board's website ( <a href="www.pub.nl.ca">www.pub.nl.ca</a> ), or at the Board's main by contacting the Board Secretary.		
30 31	Time				
32 33 34	11.		arties shall observe the schedule for the proceeding established by the Board as led from time to time.		
35	12.	All re	ferences to time shall be clear days, that is the first and the last day shall be excluded.		

1	Moti	ons	
2 3 4 5	13.	(1)	Motions must be filed in writing with the Board and served upon the parties two (2) days before the Motion Day.
6 7 8		(2)	The responding parties must file with the Board and serve upon the parties response briefs one day before the Motion Day.
9	Infor	mation	Requests
10			
11 12	14.	(1)	The Parties shall observe the dates set for the issuance and filing of requests for information ("RFIs") and dates for responses to RFIs.
13 14 15		(2)	RFIs shall be:
16 17 18			<ul> <li>(a) labelled with the initials of the party issuing the RFI;</li> <li>(b) designated so as to provide notice of to whom the RFI is directed (i.e. PUB-NP-1; PUB-CA-1); and</li> </ul>
19 20			(c) numbered consecutively with whole numbers.
21 22 23		(3)	RFIs, and responses to RFIs, shall constitute part of the record in the proceeding and will be considered to be evidence in the proceeding.
<ul><li>24</li><li>25</li><li>26</li></ul>		(4)	Where directed by the Board, a party providing a response to an RFI shall make a witness or witnesses available for cross-examination to speak to the information provided in the response.
27 28	Proc	edures	for Presentation of Evidence and Cross-examination of Witnesses
29	1100	cuules	Trescribition of Dynamics and Oross examination of vythicsses
30 31 32	15.	(1)	Pre-filed testimony of all non-expert witnesses and reports of expert witnesses should be adopted as evidence by the witnesses in sworn testimony.
33 34 35 36		(2)	Direct examination should be limited to matters set out in the witness pre-filed testimony. The Board may allow a witness to provide supplementary evidence or may restrict direct testimony where it is irrelevant, redundant or not helpful to the Board in making its decision.

- (3) Direct evidence may be presented by way of a panel of witnesses. Prior notice must be given to the Board Secretary and the parties. When examining a panel of witnesses Counsel shall put each question to a particular witness on the panel. Cocounsel may examine the same witness, or panel of witnesses, provided notice is given to the Board Secretary and the parties prior to the start of the cross-examination of the witness.
- (4) Where co-counsel intend to examine the same witness, prior notice must be given to the Board Secretary and the parties. Co-counsel should not examine the same witness on the same subject matter.
- (5) The party calling the witness shall be afforded an opportunity for re-direct examination and all parties shall have an opportunity to pose questions in relation to new matters arising from questions of the Board.
- (6) When presenting a document to a witness one (1) copy will be provided to the witness, ten (10) copies to the Board Secretary and one (1) copy to each party.
- (7) A party wishing to examine or cross-examine a witness on a document that is not:
  - (a) already part of the record of the proceeding;
  - (b) a portion of a transcript of the witness' own prior testimony; or
  - (c) an Order of the Board;

### shall:

- i. file a copy of the document with the Board and all parties by 3:00 p.m. on the last business day before the examination or cross-examination is to take place;
- ii. provide ten (10) copies to the Board Secretary on the day of the examination or cross-examination.
- (8) Where the witness adopts the document it will be marked as an exhibit to his testimony.
- (9) Where a document was not adopted as part of the witness' testimony the document may be:
  - a. if the parties consent, entered as a consent exhibit; or
  - b. entered as an information item.

## Other

16. Unless otherwise ordered by the Board, the rules of procedure set out in *Regulation 39/96* apply in this proceeding to the extent that they are consistent with this Order.